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Approved for use through 10/31/2002. OMB 0651-0031

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# TRANSMITTAL FORM

(To be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number

10/005,153

Filing Date

December 7, 2001

First Named Inventor

Irwin GERSZBERG

Group Art Unit

Not yet assigned

Examiner Name

Not yet assigned

RECEIVED

JAN 22 2002  
Technology Center 2600

Attorney Docket Number

003493.00513

## ENCLOSURES (check all that apply)

- |  |  |   |
|--|--|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form                           | <input type="checkbox"/> Assignment Papers<br>(for an Application)                         | <input type="checkbox"/> After Allowance Communication to Group                               |
| <input type="checkbox"/> Fee Attached  | <input type="checkbox"/> Drawing(s)  | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences           |
| <input checked="" type="checkbox"/> Amendment / Response                           | <input type="checkbox"/> Licensing-related Papers  | <input type="checkbox"/> Appeal Communication to Group<br>(Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final   | <input type="checkbox"/> Petition Routing Slip (PTO/SB/69)<br>and Accompanying Petition    | <input type="checkbox"/> Proprietary Information  |
| <input type="checkbox"/> Affidavits/declaration(s)                                 | <input type="checkbox"/> Petition to Convert to a Provisional Application                  | <input type="checkbox"/> Status Letter  |
| <input type="checkbox"/> Extension of Time Request                                 | <input type="checkbox"/> Power of Attorney, Revocation<br>Change of Correspondence Address | <input checked="" type="checkbox"/> Other Enclosure(s)<br>(please identify below):            |
| <input type="checkbox"/> Express Abandonment Request                               | <input type="checkbox"/> Terminal Disclaimer   | <b>1449 w/cited references (30)</b>   |
| <input checked="" type="checkbox"/> Information Disclosure Statement               | <input type="checkbox"/> Request for Refund  |   |
| <input type="checkbox"/> Certified Copy of Priority Document(s)                    | <input type="checkbox"/> CD, Number of CD(s) _____   |   |
| <input type="checkbox"/> Response to Missing Parts/<br>Incomplete Application      |  |   |
| <input type="checkbox"/> Response to Missing<br>Parts under 37 CFR<br>1.52 or 1.53 |  |   |
|  | Remarks  |   |

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

|                               |                                    |
|-------------------------------|------------------------------------|
| Firm<br>or<br>Individual name | Richard C. Irving, Reg. No. 38,499 |
| Signature                     | <i>Richard C. Irving</i>           |
| Date                          | January 14, 2002                   |

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

Typed or printed name

Signature

Date

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# FEE TRANSMITTAL for FY 2002

*Patent fees are subject to annual revision.*

**TOTAL AMOUNT OF PAYMENT** (\$ 216.00)

| Complete If Known    |                  | RECEIVED<br>JAN 22 2002<br>Technology Center 2600 |
|----------------------|------------------|---|
| Application Number   | 10/005,153       |   |
| Filing Date          | December 7, 2001 |   |
| First Named Inventor | Irwin GERSZBERG  |   |
| Examiner Name        | Not yet assigned |   |
| Group / Art Unit     | Not yet assigned |   |
| Attorney Docket No.  | 003493.00513     |   |

#### METHOD OF PAYMENT (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number  
19-0733

Deposit Account Name  
Banner & Witcoff, Ltd.

- Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
 Applicant claims small entity status. See 37 CFR 1.27

2.  Payment Enclosed:

Check     Credit card     Money Order     Other

#### FEE CALCULATION

##### 1. BASIC FILING FEE

| Large Entity Fee Code | Fee (\$) | Small Entity Fee Code | Fee (\$) | Fee Description        | Fee Paid |
|-----------------------|----------|-----------------------|----------|------------------------|----------|
| 101                   | 740      | 201                   | 370      | Utility filing fee     |          |
| 106                   | 330      | 206                   | 165      | Design filing fee      |          |
| 107                   | 510      | 207                   | 255      | Plant filing fee       |          |
| 108                   | 740      | 208                   | 370      | Reissue filing fee     |          |
| 114                   | 160      | 214                   | 80       | Provisional filing fee |          |

SUBTOTAL (1) (\$ 0)

##### 2. EXTRA CLAIM FEES

| Total Claims       | 43 | -31 ** | = 12 | X 18 | = 216. |
|--------------------|----|--------|------|------|--------|
| Independent Claims | 3  | -3 **  | = 0  | X    | = 0    |
| Multiple Dependent |    |        |      | X    | = 0    |

| Large Entity Fee Code | Fee (\$) | Small Entity Fee Code | Fee (\$) | Fee Description  | Fee Paid |
|-----------------------|----------|-----------------------|----------|--|----------|
| 103                   | 18       | 203                   | 9        | Claims in excess of 20                                     |          |
| 102                   | 84       | 202                   | 42       | Independent claims in excess of 3                          |          |
| 104                   | 280      | 204                   | 140      | Multiple dependent claim, if not paid                      |          |
| 109                   | 84       | 209                   | 42       | ** Reissue independent claims over original patent         |          |
| 110                   | 18       | 210                   | 9        | ** Reissue claims in excess of 20 and over original patent |          |

SUBTOTAL (2) (\$ 216.00)

\*\* or number previously paid, if greater; For Reissues, see above

#### FEE CALCULATION (continued)

| 3. ADDITIONAL FEES | Large Entity Fee Code | Fee (\$) | Small Entity Fee Code | Fee (\$)   | Fee Description | Fee Paid |
|--------------------|-----------------------|----------|-----------------------|--|-----------------|----------|
| 105                | 130                   | 205      | 65                    | Surcharge - late filing fee or oath  |                 |          |
| 127                | 50                    | 227      | 25                    | Surcharge - late provisional filing fee or cover sheet                     |                 |          |
| 139                | 130                   | 139      | 130                   | Non-English specification  |                 |          |
| 147                | 2,520                 | 147      | 2,520                 | For filing a request for reexamination                                     |                 |          |
| 112                | 920*                  | 112      | 920*                  | Requesting publication of SIR prior to Examiner action                     |                 |          |
| 113                | 1,840*                | 113      | 1,840*                | Requesting publication of SIR after Examiner action                        |                 |          |
| 115                | 110                   | 215      | 55                    | Extension for reply within first month                                     |                 |          |
| 116                | 400                   | 216      | 200                   | Extension for reply within second month                                    |                 |          |
| 117                | 920                   | 217      | 460                   | Extension for reply within third month                                     |                 |          |
| 118                | 1,440                 | 218      | 720                   | Extension for reply within fourth month                                    |                 |          |
| 128                | 1,960                 | 228      | 980                   | Extension for reply within fifth month                                     |                 |          |
| 119                | 320                   | 219      | 160                   | Notice of Appeal   |                 |          |
| 120                | 320                   | 220      | 160                   | Filing a brief in support of an appeal                                     |                 |          |
| 121                | 280                   | 221      | 140                   | Request for oral hearing   |                 |          |
| 138                | 1,510                 | 138      | 1,510                 | Petition to institute a public use proceeding                              |                 |          |
| 140                | 110                   | 240      | 55                    | Petition to revive – unavoidable   |                 |          |
| 141                | 1,280                 | 241      | 640                   | Petition to revive – unintentional   |                 |          |
| 142                | 1,280                 | 242      | 640                   | Utility issue fee (or reissue)   |                 |          |
| 143                | 460                   | 243      | 230                   | Design issue fee   |                 |          |
| 144                | 620                   | 244      | 310                   | Plant issue fee  |                 |          |
| 122                | 130                   | 122      | 130                   | Petitions to the Commissioner  |                 |          |
| 123                | 50                    | 123      | 50                    | Processing fee under 37 CFR 1.17 (g)                                       |                 |          |
| 126                | 180                   | 126      | 180                   | Submission of Information Disclosure Stmt                                  |                 |          |
| 581                | 40                    | 581      | 40                    | Recording each patent assignment per property (times number of properties) |                 |          |
| 146                | 740                   | 246      | 370                   | Filing a submission after final rejection (37 CFR § 1.129(a))              |                 |          |
| 149                | 740                   | 249      | 370                   | For each additional invention to be examined (37 CFR § 1.129(b))           |                 |          |
| 179                | 740                   | 279      | 370                   | Request for Continued Examination (RCE)                                    |                 |          |
| 169                | 900                   | 169      | 900                   | Request for expedited examination of a design application                  |                 |          |

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid      SUBTOTAL (3) (\$ 0)

#### SUBMITTED BY

Complete (if applicable)

|                   |                          |                                  |        |           |                  |
|-------------------|--------------------------|----------------------------------|--------|-----------|------------------|
| Name (Print/Type) | Richard C. Irving        | Registration No. Attorney/Agent) | 38,499 | Telephone | (202) 508-9100   |
| Signature         | <i>Richard C. Irving</i> |                                  |        | Date      | January 14, 2002 |

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